JC10 Rec'd PCT/PTO 27 APR 2005 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390 ATTORNEY'S DOCKET NUMBER (REV, 02/2005) TRANSMITTAL LETTER TO THE UNITED STATES 7003/36 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/DE2002/004063 29 October 2002 29 October 2001 TITLE OF INVENTION SCREENING METHOD FOR ACTIVE AGENTS WHICH INFLUENCE NERVE CELLS APPLICANT(S) FOR DO/EO/US: SENDTNER, Michael Anton; GUNNERSEN, Jennifer; SEDLACEK, Hans-Harald; WIESE, Stefan Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. A preliminary amendment.

14. An Application Data Sheet under 37 CFR 1.76.

15. A substitute specification.

16. A power of attorney and/or change of address letter.

17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.

18. A second copy of the published international application under 35 U.S.C. 154(d)(4).

19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. Other items or information: PETITION FOR REVIVAL OF AN INTERNATIONAL APPLICATION FOR PATENT DESIGNATING THE U.S. ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)

JC14 Rec'd PCT/PTO 27 APR 2005 The following fees have been submitted: \$ 300.00 If International preliminary examination report prepared by USPTO and all claims satisfy All other situations\$200.00 \$ 200.00 23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority\$100.00 International Search Report prepared and provided to the Office.....\$400.00 \$ 400.00 All other situations.....\$500.00 TOTAL OF 21, 22 and 23 = \$ 900.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or Total Sheets Extra Sheets Rate Fraction thereof (round up to a whole number) \$ 0 /50 = x \$250 18 - 100 = Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months \$ 130.00 from the earliest claimed priority date (37 CFR 1.492(h)). NUMBER FILED **NUMBER EXTRA** RATE CLAIMS Total claims 14 - 20 = \$50.00 4 - 3 = 1 x \$200.00 Independent claims \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 \$ Fee For Petition To Revive Unintentionally Abandoned Application \$1500.00 TOTAL OF ABOVE CALCULATIONS = \$2530.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above \$1265.00 are reduced by 1/2. \$1265.00 SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months \$ 130.00 from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$1395.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$1395.00 Amount to be \$1395.00 charged: a. A check in the amount of \$_ to cover the above fees is enclosed. b. Please charge my Deposit Account No. 50-1047 in the amount of \$1395.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. M The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-1047</u>. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO:

SEND ALL CORRESPONDENCE TO:

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42,059

REGISTRATION NUMBER

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Michael Anton Sendtner et al. Applicant(s): 7003/36 Customer No. **Group Art Unit** Examiner Application No. Filing Date Unassigned Unassigned 27774 Unassigned Filed Herewith SCREENING METHOD FOR ACTIVE AGENTS WHICH INFLUENCE NERVE CELLS Invention: I hereby certify that the following correspondence: New U.S. Patent Application Filed Under 35 U.S.C. 371 (Identify type of correspondence) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 04/27/2005 (Date) Marjorie Scariati (Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence) ED 897235241 US ("Express Mail" Mailing Label Number)

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